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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 02-065

First Inventor or Application Identifier INQUE

Title DYNAMO-ELECTRIC MACHINE HAVING COMMUTATOR
AND MANUFACTURING METHOD THEREOF

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 22]
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description of the Preferred Embodiment
 - Claims
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
13. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____

Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

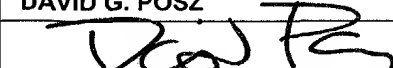
17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

PATENT & TRADEMARK OFFICE			
Name			
Address			
City	State	Zip Code	
Country	Telephone	(202) 416-1638	Fax (202) 416-1639

Name (Print/type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701
Signature		Date	11-26-01

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)**780****Complete If Known**

Application Number

Filing Date

November 26, 2001

First Named Inventor

INOUE

Examiner Name

Group/Art Unit

Attorney Docket No.

02-065**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number**50-1147**Deposit
Account
Name**LAW OFFICE OF DAVID G. POSZ**☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**740****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
17	-20**= 0	X 18	=
Independent Claims 3	-3**= 0	X 84	=
Multiple Dependent			=

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
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149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)(\$)**40****SUBMITTED BY**

Name (Print/Type)

DAVID G. POSZRegistration No.
(Attorney/Agent)**37,701****Complete (if applicable)**

Telephone

(202) 416-1638

Signature

Date

11.26.01**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.
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TOTAL 780

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Prior application information: Examiner

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PATENT & TRADEMARK OFFICE

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DAVID G. POSZ

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November 26, 2001

First Named Inventor

INOUE

Examiner Name

Group/Art Unit

Attorney Docket No.

02-065

TOTAL AMOUNT OF PAYMENT

(\$ 780

JC986 U.S. PTO
09/991927
11/26/01

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-1147

Deposit
Account
Name

LAW OFFICE OF DAVID G. POSZ



Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

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Check



Money
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Other

FEE CALCULATION

1. BASIC FILING FEE

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SUBTOTAL (1)

(\$ 740

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
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Independent Claims	3	-3**= 0	84
Multiple Dependent			

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149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$ 40

SUBMITTED BY

Name (Print/Type)

DAVID G. POSZ

Registration No.
(Attorney/Agent)

37,701

Complete (if applicable)

Telephone

(202) 416-1638

Signature

[Signature]

Date

11.26.01

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DAVID G. POSZ
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WASHINGTON, D.C. 20036

SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ
CHARLES W. BETHARDS*
KERRY S. CULPEPPER**

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November 26, 2001

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FAX (202) 416-1639
POSZLAW.COM

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Washington, D.C. 20231

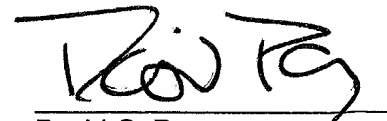
Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: INOUE
For: DYNAMO-ELECTRIC MACHINE HAVING COMMUTATOR AND
MANUFACTURING METHOD THEREOF
Docket: 02-065
Attorney: David G. Posz
Date of Deposit: November 26, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- check for \$780 for filing fee and assignment recordation;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 22 page specification (17 numbered claims);
- 6 sheets of formal drawings;
- executed declaration and power of attorney;
- executed assignment and recordation cover sheet;
- IDS and copies of 4 cited references; and
- 2 certified copy(ies) of priority document(s) (JP 2000-371360, JP 2001-308502).


David G. Posz
Attorney For Applicant
Reg. No. 37,701

0991927 112601

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/28/2001 DTESSEM1 00000059 09991927

01 FC:101

740.00 OP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595